

# 5-Step® Animal Welfare Rating Program Audit Prep Tool

## Meat Sheep v1.3

### When Should I Use the Audit Prep Tool?

The purpose of this tool is to provide meat sheep producers with a simple checklist to help identify where farms and ranches may have issues with compliance to the GAP 5-Step® Program for Meat Sheep. While this Audit Prep Tool covers many of the key requirements in our Meat Sheep Standards, it does not cover every standard. Using this tool does not guarantee that you will pass an audit, but should help you prepare for one. This tool can be used if you are preparing for a new audit or if you are getting ready for a renewal audit. Being prepared for the audit helps to identify and resolve issues before the auditor visits your operation, which in turn, helps the certification process go more smoothly.

### Who Should Complete It?

Since this Audit Prep Tool was designed to help you get organized for your audit, it would be most beneficial if it were completed by the person(s) responsible for managing the operation and/or an animal caretaker.

#### How Do I Use this Document?

This tool has been divided into sections so that you only need to focus on the sections relevant to your operation. The first section covers the core requirements for ALL Step levels. From there, the document is divided up by Step level — this allows you to skip to the section that's relevant to the level you're looking to be certified to. As well, if you're looking to move up the Steps, this tool can be used to help identify what your operation would need to do. Note that there is no Step 2 or Step 3 rating for sheep. Finally, we have provided a checklist for written records and documents that you'll need to have. The auditor will be looking for these documents during the audit, so if you're missing any, please check the Meat Sheep area of our website for templates to get you started.

## How Do I Know if My Operation Might Have Issues with Compliance?

If you tick the shaded green boxes, then there is a good chance that you are in compliance with the Standard. In certain circumstances, a specific standard may not apply to your system, in which case you will also have the option to tick the 'NA' box. If you tick any non-shaded boxes, or are uncertain about any of the questions, we recommend reviewing our resources in the Meat Sheep area of the GAP website or checking in with your certifier for additional help before scheduling the audit.

## Where Can I Get More Help?

You can find additional information, including additional resources and templates, on the Meat Sheep area of the GAP website. If you have any questions, feel free to reach out to your certifier or the Farm Animal Welfare team (standards@globalanimalpartnership.org) at GAP.

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# Requirements for ALL Steps

All operations, no matter which Step level you are applying for, should complete this section.

		Yes	No	NA
1 ANIM	AL SOURCE AND HEALTH			
1.1	Source / Breed			
1.1.1	Do you have genetically modified or cloned sheep?	0	0	
1.1.2	Do you use laparoscopic (surgical) artificial insemination?	0	0	0
1.1.7	If you buy market sheep, do you get them from 5-Step certified operations?	0	0	0
	Do you buy your market sheep through:			
	a. Direct farm-to-farm sales	0	0	0
	b. Video auctions	0	0	0
	c. Sale or auction barns	0	0	0
1.1.8,	If you buy replacement females, are they ewe lambs?	0	0	0
1.1.9	Of the ewe lambs you buy each year, are more than 20% from non-Step certified farms?	0	0	0
	Do you buy your ewe lambs and rams from:			
	a. Direct farm-to-farm sales	0	0	0
	b. Video auctions	0	0	0
	c. Sale or auction barns	0	0	0
1.1.10	Can you identify your purchased 5-Step breeding sheep? (e.g., using tags, unique			
	markings/colorings, etc.)	0	0	0
1.2	Medication			
1.2.1	Are all sheep treated with antibiotics, ionophores, beta agonists, growth hormones,	0	0	
	and/or sulpha drugs sold to non-GAP markets?			
1.2.4	Do you check your medication cabinet regularly and make sure expired medication is disposed of?	0	0	
1.3	Treatment			
1.3.1	Do you treat all sick or injured sheep within 24 hours?	0	0	
1.3.5	Do you segregate sick and/or injured sheep?	0	0	
1.3.7	Are segregated sick or injured sheep seen at least twice a day?	0	0	
1.3.11	Do you use products (e.g. sheep dips) that contain organophosphates on sheep?	0	0	
1.4	On-Farm Euthanasia			_
1.4.1	Are the people who perform euthanasia trained?	0	0	
1.4.4	Does the person euthanizing sheep stay with them until they are sure the sheep is dead?	0	0	
1.4.5	When you find a sheep that needs to be euthanized, is it euthanized within 24 hours?	0	0	
1.4.6	Do you euthanized sheep using:			
	a. Firearm shot to the head	0	0	0
	b. Penetrating or non-penetrating captive bolt (followed by exsanguination or	0	0	0
	pithing)			
	c. Anesthetic overdose	0	0	0
	d. Blunt force trauma	0	0 0	
	e. Other	0	0	

		Yes	No	NA
2 ANIM	AL CARE AND MANAGEMENT			
2.1	Flock Management		1	
2.1.1	If sheep are not kept on pasture for any time during rearing (e.g., if housed during bad	0	0	0
	weather or in finishing lots), are they seen at least once a day?			
2.1.2	During lambing, do you see ewe-lambs at least once a day?	0	0	0
	During lambing, do you see ewes that have needed assistance in the past at least once a day?	0	0	0
2.1.3	For all other sheep on pasture (outside of lambing season), are they seen at least once a week?	0	0	
2.2	Body Condition			
2.2.1	Do you assess body condition score* of all breeding sheep at least once a year?	0	0	0
	*BCS; see Appendix II in the Meat Sheep Standards			
2.2.2	Are any emaciated sheep (BCS less than 2) given additional care?	0	0	0
2.3	Handling and Restraint			
2.3.8	Do you use electric prods?	0	0	
2.4	Mulesing			
2.4.1	Do you perform mulesing (remove wool-bearing skin from the breech area) on any sheep?	0	0	
2.5	Shearing			
2.5.1	If you have wool breed sheep, are adults sheared at least once a year?	0	0	0
2.7	Branding			
2.7.1	Do you brand (either hot or cold)?	0	0	
2.8	Disbudding and Dehorning			
2.8.1	Do you disbud (i.e., remove the undeveloped horn buds)?	0	0	0
2.8.2	Do you dehorn (i.e., removed the developed horn after it has attached to the skull)?	0	0	0
2.8.3	If you tip the ends of horns, do they ever bleed?	0	0	0
2.10	Animal Identification		ı	
2.10.1	Can you identify your market sheep? (either uniquely or by group or lot tag; e.g., using tags, markings/colorings, etc.)	0	0	
2.10.2	Do sheep have more than one dangle ear tag per ear?	0	0	
2.10.6	For any sheep that do not meet the GAP standards, do you have a plan to identify them?	0	0	
2.11	Lambing		1	
2.11.1	Do assisted lambings exceed 10% of ewes per lamb crop?	0	0	0
2.11.2	Do caesarean sections exceed 1% of births per lamb crop?	0	0	0
	Do you/your vet use pain relief for caesarean sections?	0	0	0
2.11.5	If you use adopter pens*, do you keep ewes in them for more than 72 hours?	0	0	0
	*pens used for grafting/fostering lambs, where the ewe cannot turn around			
2.12	Lamb Management			
2.12.1	Do you make sure that all lambs get colostrum as soon as possible after birth?	0	0	0
2.12.3,	Are lambs not reared by an ewe:			
2.12.4,	a. Given milk or milk replacer at least twice a day	0	0	0
2.12.5,	b. Provided with at least one nipple per lamb, if fed via self-feeder	0	0	0
2.12.6	c. Given water by day 1 of age	0	0	0
	d. Given forage by day 7 of age	0	0	0
	e. Raised in groups with other artificially reared lambs	0	0	0
2.13	Weaning Age			

		Yes	No	NA
2.13.3	When weaning a lamb crop, are any lambs weaned from ewes younger than 8 weeks of age?	0	0	0
2.13.4	If any lambs are not raised on an ewe, are they fed milk until at least 4 weeks of age?	0	0	0
2.14	Lameness			
2.14.1	Do you regularly assess foot health?	0	0	
	Do you trim or treat feet when necessary?	0	0	
2.14.2	Does lameness* ever affect more than 5% of the flock?			
		0	0	
	*see Appendix I in the Meat Sheep Standards			
2.15	Mortality (includes sheep that have died or been euthanized on farm or are missing; stillborns	and d	eaths	
	due to predators are not included.)			
2.15.2	Is pre-weaning mortality greater than 15% per year?	0	0	0
2.15.3	Is breeding stock mortality greater than 7% per year?	0	0	0
2.15.4	Is market sheep mortality greater than 5% per year?	0	0	0

		Yes	No	NA
3 FEED	AND WATER			
3.1	Water Availability			
3.1.1	Do sheep have continuous access to water?	0	0	
3.1.2	Are drinkers and water troughs regularly cleaned?	0	0	0
3.2	Feeding Requirements			
3.2.1, 3.2.2	Do all sheep have daily access to feed/grass/grazing?	0	0	
3.2.3	Do all sheep have continuous access to palatable fibrous feedstuffs when not on pasture or range? (e.g., hay, haylage, silage, straw, corn stover)	0	0	
3.4	Additives and Ingredients in Feed and Water			
3.4.1,	Does feed contain mammalian, avian, or fish by-products? (check feed labels)	0	0	0
3.4.2, 3.4.3	Do feed supplements (e.g., mineral blocks) contain mammalian, avian, or fish by-products? (check feed labels)	0	0	0

		Yes	No	NA
4 LIVING	G ENVIRONMENT			
4.2	Shade and Windbreaks			
4.2.1	Are sheep provided with shade, shelter, and/or windbreaks in adverse weather?	0	0	
4.3	Living Environment when Sheep are Removed from Pasture			
4.3.4	Do you keep healthy, bonded ewes and lambs in individual lambing pens for longer	0	0	0
	than 72 hours?		,	
4.3.5	Do indoor lambing pens provide at least 16 ft <sup>2</sup> (1.5 m <sup>2</sup> ) for each ewe?	0	0	0
4.3.8	Do indoor pens have clean and dry bedding?	0	0	0

		Yes	No	NA
5 RODE	NT AND PREDATOR CONTROL			
5.1	Rodent Control Program			
	☐ If you do not consider rodents a problem on your operation, check this box and move	to the	Sectio	n 5.2
5.1.2	Does your rodent control program include:			
	a. Methods that only target rodents	0	0	0
	b. An assessment of different methods of lethal control	0	0	0

		Yes	No	NA
	c. Traps that are species specific, appropriately located, and designed to cause rapid death (if used)	0	0	0
	d. Licensed rodenticides used only in areas where traps will be ineffective	0	0	0
5.2	Predator Control  If you do not consider predators a problem on your operation, check this box and mote 6	ve to t	he Sec	tion
5.2.1	If predators are a problem, is there a control program in place?	0	0	0
5.2.2	Is non-lethal exclusion your first level of predator control?	0	0	0
5.2.3	If you must use lethal methods to control predators, is it completed:			
	a. With a fire arm	0	0	0
	b. By a skilled shooter	0	0	0
	c. And the shot kills immediately	0	0	0
5.2.4	Do you use poisons, drowning, snares, or any trap besides a live trap?	0	0	
5.3	Guardian Animals			
5.3.1	If you use a guardian animal (dogs, llamas, donkeys, etc.), have they effectively deterred predators in your area?	0	0	0
	If you use a guardian animal (dogs, llamas, donkeys, etc.), have they ever harmed the sheep they are guarding?	0	0	0

		Yes	No	NA
6 TRAN	SPORT (i.e., any sheep movement off of the operation or on/around the operation for longer	r than	2 hou	ırs)
6.1	Water and Feed Withdrawal			
6.1.1	Do you provide water for the sheep until loading begins?	0	0	0
6.1.2	Is feed (including grass/grazing) provided until at least 8 hours before loading?	0	0	0

		Yes	No	NA
7 PLANS	S, PROTOCOLS, PROCEDURES, TRAINING, RECORDS AND TRACEABILITY			
7.3	Alternative Power Supply			
7.3.1	If your operation requires power for heating, cooling, ventilation, watering, or feeding			
	systems, do you have:			
	a. An alternative power supply	0	0	0
	b. A way to be notified if there is power failure	0	0	0
7.4	Training			
7.4.1	Do you have a training program for all sheep caregivers that:			
	a. Is written and/or hands-on	0	0	
	b. Is in employees' language	0	0	
	c. Describes normal and abnormal sheep behavior	0	0	
	d. Covers individual's responsibilities	0	0	
	e. Covers emergency procedures	0	0	
	f. Is provided before the individual handles sheep	0	0	
	g. Covers the GAP sheep standards	0	0	
	h. Is on-going	0	0	
7.5	General Records Requirements			
7.5.1,	Are all records and documents listed in the "Records and Documents" section of this Audit	0	0	
7.5.2	Prep Tool organized and ready for the auditor to review?			

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**ALL STEPS** 

		Yes	No	NA
7.5.3	Do you keep all records, reports, and GAP certificates for at least 15 months?	0	0	
7.6	Traceability and Chain of Custody			
7.6.4	If you use a collection point, is it GAP certified?	0	0	0

	Yes	No	NA
8 SLAUGHTER REQUIREMENTS			
8.1 General Requirements			
Does your slaughter facility have a current third-party animal welfare audit certificate on file? (you may have to contact your slaughter facility, producer group, co-operative, or other marketing entity to get this information)	0	0	

# Additional Step 1 Requirements

Only operations aiming to achieve a Step 1 rating should fill out this section. If you plan to apply for a different Steprating, you can jump to the Requirements section for the associated Step level.

		Yes	No	NA
2 ANIM	AL CARE AND MANAGEMENT			
2.6	Castration			
2.6.1	On average, are lambs castrated at an age of 6 weeks or less?	0	0	0
2.6.4	Are all lambs castrated before 8 weeks of age?	0	0	0
2.6.3	Do you castrate using one of the following methods?			
	a. Rubber rings/bands	0	0	0
	b. Scalpel			
	c. Bloodless clamp (Burdizzo)			
2.9	Fly Strike Management and Tail Docking			
2.9.2	On average, are lambs tail docked at an age of 6 weeks or less?	0	0	0
2.9.6	Are all lambs tail docked before 8 weeks of age?	0	0	0
2.9.3	Are docked tails long enough to cover the vulva or anus?	0	0	0
2.9.5	If you tail dock, do you use one of the following methods?			
	a. Rubber rings/bands			
	b. Scalpel	0	0	0
	c. Cauterizing blade			
	d. Bloodless clamp (Burdizzo)			
2.10	Animal Identification			
2.10.3	If you ear notch, do you use one of the following?			
	a. An ear-notching tool	0	0	0
	b. A surgically sharp knife/scalpel			
2.10.4	After ear notching, is at least 90% of the ear left intact?	0	0	0
2.13	Weaning Age			
2.13.1	On average, are lambs weaned at an age of 12 weeks or more?	0	0	0

		Yes	No	NA
4 LIVIN	G ENVIRONMENT			
4.1	Living Environment			
4.1.1	Do you remove sheep from pasture:			
	a. For ease of management	0	0	0
	b. For finishing	0	0	0
	c. In adverse weather conditions or at other times when there is a risk to sheep health/welfare	0	0	0
4.1.7	If you use finishing lots, are market sheep in the lot for more than 70 days?	0	0	0
4.3	Living Environment when Sheep are Removed from Pasture			
4.3.1	If you house sheep, do they have access to the outdoors during the day?	0	0	0
4.3.7	Do you carry out mud scoring*?  *see Appendix IV in the Meat Sheep Standards	0	0	
4.4	Environmental Enrichment			
4.4.1	If lambs are removed from pasture, do they have access to bales or other platforms that they can jump on?	0	0	0

		Yes	No	NA
4.5	Space Requirements			
4.5.1	Do finishing lots provide at least 55 ft <sup>2</sup> (5 m <sup>2</sup> ) for each sheep?	0	0	0
4.5.2	If you ever house sheep, do you give at least the following amount of <b>bedded area</b> for each			
	sheep?			
	a. Ewe: $14 \text{ ft}^2 (1.3 \text{ m}^2)$	0	0	0
	b. Ewe and single lamb: 18 ft <sup>2</sup> (1.7 m <sup>2</sup> )	0	0	0
	c. Additional lamb: 4 ft² (0.4 m²)	0	0	0
	d. Weaned lamb: 9 ft <sup>2</sup> (0.8 m <sup>2</sup> )	0	0	0
	e. Ram: 17 ft² (1.6 m²)	0	0	0
4.5.4	If you ever house sheep, do you give at least the following amount of outdoor exercise			
	area for each sheep?			
	a. Ewe: $27 \text{ ft}^2 (2.5 \text{ m}^2)$	0	0	0
	b. Ewe and single lamb: 33 ft <sup>2</sup> (3.0 m <sup>2</sup> )	0	0	0
	c. Additional lamb: 5 ft² (0.5 m²)	0	0	0
	d. Weaned lamb: $12 \text{ ft}^2 (1.1 \text{ m}^2)$	0	0	0
	e. Ram: $27 \text{ ft}^2 (2.5 \text{ m}^2)$	0	0	0
4.6	Flooring			
4.6.1	If you have slatted floors in housing, does it account for more than 25% of the total pen	0	0	0
	area?			

		Yes	No	NA
6 TRAN	ISPORT (i.e., any sheep movement off of the operation or on/around the operation for longe	r than	2 hou	rs)
6.3	Loading/Unloading			
6.3.4	Does the loading/unloading crew use electric prods?	0	0	
6.4	Transport and Loading Equipment			
6.4.3	Do ramp slopes for the first trailer deck have more than a 20-degree incline?	0	0	0
6.4.6	During transport, is each sheep given at least the following about of space?  a. Shorn sheep under 120 lbs: 2 ft² (0.2 m²)  b. Shorn sheep over 120 lbs: 3 ft² (0.3 m²)  c. Unshorn sheep under 120 lbs: 3 ft² (0.3 m²)  d. Unshorn sheep over 120 lbs: 4 ft² (0.4 m²)  e. Pregnant ewes under 120 lbs: 4 ft² (0.4 m²)  f. Pregnant ewes over 120 lbs: 5 ft² (0.5 m²)  *This can be calculated by measuring the length and width of the floor inside the trailer.  Multiply the two numbers to get the total area provided (if there are multiples levels in a trailer, calculate the space for each level and add them together). Next, divide the total area by the number of sheep you place in the trailer. This will give you the amount of space provided for each sheep.	000000	000000	000000
6.5	Transport Personnel Responsibilities and Procedures			
6.5.4	If the truck picks up animals from other operations in addition to yours, is there a separate delivery note for your operation?	0	0	0
6.6	Transport Duration			
6.6.1	Does transport take longer than 18 hours?	0	0	0

# There is no Step 2 or Step 3 rating for sheep.

# Additional Step 4 Requirements

Only operations aiming to achieve a Step 4 rating should fill out this section. If you plan to apply for a different Steprating, you can jump to the Requirements section for the associated Step level.

		Yes	No	NA
2 ANIM	AL CARE AND MANAGEMENT			
2.6	Castration			
2.6.1	On average, are lambs castrated at an age of 6 weeks or less?	0	0	0
2.6.4	Are all lambs castrated before 8 weeks of age?	0	0	0
2.6.3	Do you castrate using one of the following methods?			
	a. Rubber rings/bands	0	0	0
	b. Scalpel			0
	c. Bloodless clamp (Burdizzo)			
2.9	Fly Strike Management and Tail Docking			
2.9.2	On average, are lambs tail docked at an age of 6 weeks or less?	0	0	0
2.9.6	Are all lambs tail docked before 8 weeks of age?	0	0	0
2.9.3	Are docked tails long enough to cover the vulva or anus?	0	0	0
2.9.5	If you tail dock, do you use one of the following methods?			
	a. Rubber rings/bands			
	b. Scalpel	0	0	0
	c. Cauterizing blade			
	d. Bloodless clamp (Burdizzo)			
2.10	Animal Identification			
2.10.3	If you ear notch, do you use one of the following?			
	a. An ear-notching tool	0	0	0
	b. A surgically sharp knife/scalpel			
2.10.4	After ear notching, is at least 90% of the ear left intact?	0	0	0
2.13	Weaning Age			
2.13.1	On average, are lambs weaned at an age of 12 weeks or more?	0	0	0
2.13.5	Are lambs weaned using the following low-stress methods?			
	a. Fence line weaning			
	b. Gradual removal of ewes from a group	0	0	0
	c. Removing ewes from the group to leave lambs in familiar surroundings			
	d. Natural weaning			

		Yes	No	NA
4 LIVIN	G ENVIRONMENT			
4.1	Living Environment			
4.1.1	Do you remove sheep from pasture:			
	a. For ease of management	0	0	0
	b. For finishing	0	0	0
	c. In adverse weather conditions or at other times when there is a risk to sheep health/welfare	0	0	0
4.1.3	If market lambs are born off pasture, do they spend the last 90 days of their lives on pasture?	0	0	0
4.1.8	Do you use finishing lots of feed lots?	0	0	0

		Yes	No	NA
4.3	Living Environment when Sheep are Removed from Pasture			
4.3.2	If you house sheep, do they have access to the outdoors during the day?	0	0	0
4.3.7	Do you carry out mud scoring*?			
		0	0	
	*see Appendix IV in the Meat Sheep Standards			
4.3.9	If you remove sheep from pasture, do you give them a bedded area?	0	0	0
4.4	Environmental Enrichment			
4.4.1	If lambs are removed from pasture, do they have access to bales or other platforms they	0	0	0
	can jump on?			
4.5	Space Requirements			
4.5.3	If you ever house sheep, do you give at least the following amount of <b>bedded area</b> for each			
	sheep?		_	
	a. Ewe: 15 ft² (1.4 m²)	0	0	0
	b. Ewe and single lamb: 21 ft <sup>2</sup> (2.0 m <sup>2</sup> )	0	0	0
	c. Additional lamb: 4 ft² (0.4 m²)	0	0	0
	d. Weaned lamb: 10 ft <sup>2</sup> (0.9 m <sup>2</sup> )	0	0	0
	e. Ram: 21 ft² (2.0 m²)	0	0	0
4.5.4	If you ever house sheep, do you give at least the following amount of <b>outdoor exercise</b>			
	area for each sheep?			
	a. Ewe: 27 ft² (2.5 m²)	0	0	0
	b. Ewe and single lamb: 33 ft <sup>2</sup> (3.0 m <sup>2</sup> )	0	0	0
	c. Additional lamb: 5 ft² (0.5 m²)	0	0	0
	d. Weaned lamb: 12 ft <sup>2</sup> (1.1 m <sup>2</sup> )	0	0	0
	e. Ram: 27 ft² (2.5 m²)	0	0	0
4.6	Flooring			
4.6.2	Do you use slatted floors?	0	0	0

		Yes	No	NA
6 TRAN	ISPORT (i.e., any sheep movement off of the operation or on/around the operation for longe	r than	2 hou	rs)
6.3	Loading/Unloading			
6.3.4	Does the loading/unloading crew use electric prods?	0	0	
6.4	Transport and Loading Equipment			
6.4.3	Do ramp slopes for the first trailer deck have more than a 20-degree incline?	0	0	0
6.4.6	During transport, is each sheep given at least the following about of space?			
	a. Shorn sheep under 120 lbs: 2 ft <sup>2</sup> (0.2 m <sup>2</sup> )	0	0	0
	b. Shorn sheep over 120 lbs: 3 ft <sup>2</sup> (0.3 m <sup>2</sup> )	0	0	0
	c. Unshorn sheep under 120 lbs: 3 ft <sup>2</sup> (0.3 m <sup>2</sup> )	0	0	0
	d. Unshorn sheep over 120 lbs: 4 ft <sup>2</sup> (0.4 m <sup>2</sup> )	0	0	0
	e. Pregnant ewes under 120 lbs: 4 ft² (0.4 m²)	0	0	0
	f. Pregnant ewes over 120 lbs: 5 ft <sup>2</sup> (0.5 m <sup>2</sup> )	0	0	0
	*This can be calculated by measuring the length and width of the floor inside the trailer.  Multiply the two numbers to get the total area provided (if there are multiples levels in a			
	trailer, calculate the space for each level and add them together). Next, divide the total area by the number of sheep you place in the trailer. This will give you the amount of space			
	provided for each sheep.			
6.5	Transport Personnel Responsibilities and Procedures			

		Yes	No	NA
6.5.4	If the truck picks up animals from other operations in addition to yours, is there a separate delivery note for your operation?	0	0	0
6.6	Transport Duration			
6.6.1	Does transport take longer than 18 hours?	0	0	0

# Additional Step 5 Requirements

Only operations aiming to achieve a Step 5 rating should fill out this section. If you plan to apply for a different Steprating, you can jump to the Requirements section for the associated Step level.

		Yes	No	NA
2 ANIM	AL CARE AND MANAGEMENT			
2.6	Castration			
2.6.2	Do you castrate?	0	0	0
2.9	Fly Strike Management and Tail Docking			
2.9.4	Do you tail dock?	0	0	0
2.10	Animal Identification			
2.10.5	Do you ear notch?	0	0	0
2.13	Weaning Age			
2.13.2	Is the average lamb age at weaning at least than 16 weeks?	0	0	0
2.13.5	Are lambs weaned using the following low-stress methods?  a. Fence line weaning  b. Gradual removal of ewes from a group  c. Removing ewes from the group to leave lambs in familiar surroundings  d. Natural weaning	0	0	0
2.17	Other Commercial Animals on the Operation			
2.17.1	Are all other livestock animals on the operation managed according to Step 1 Standards or higher?	0	0	0
2.18	Domestic Animals on the Operation			
2.18.2	Are all domestic animals (e.g., dogs, cats, horses, goats, chickens, or any other animals on the operation, including both pets and working animals) on-farm given the following?  a. Food and water  b. An environment that do not cause them injury and allows for exercise and comfortable resting  c. Veterinary attention, if required	0	0	0

		Yes	No	NA
4 LIVIN	G ENVIRONMENT			
4.1	Living Environment			
4.1.4	Do sheep have access to pasture at all times?	0	0	
	If sheep need to be removed from pasture due to extreme weather, does it exceed:			
	a. 5 consecutive days	0	0	0
	b. 25 days throughout a calendar year			
4.1.8	Do you use finishing lots of feed lots?	0	0	
4.3	Living Environment when Sheep are Removed from Pasture			
4.3.9	If you remove sheep from pasture, do you give them a bedded area?	0	0	0
4.5	Space Requirements			
4.5.3	If you ever house sheep, do you give at least the following amount of <b>bedded area</b> for each			
	sheep?			
	a. Ewe: 15 ft² (1.4 m²)	0	0	0
	b. Ewe and single lamb: 21 ft <sup>2</sup> (2.0 m <sup>2</sup> )	0	0	0
	c. Additional lamb: 4 ft² (0.4 m²)	0	0	0

		Yes	No	NA
	d. Weaned lamb: 10 ft² (0.9 m²)	0	0	0
	e. Ram: 21 ft² (2.0 m²)	0	0	0
4.6	Flooring			
4.6.2	In the event that you have to remove sheep from pasture, do you use slatted floors?	0	0	0

		Yes	No	NA		
6 TRANSPORT (i.e., any sheep movement off of the operation or on/around the operation for longer than 2 hours)						
6.3	Loading/Unloading					
6.3.4	Does the loading/unloading crew use electric prods?	0	0			
6.4	Transport and Loading Equipment					
6.4.3	Do ramp slopes for the first trailer deck have more than a 20-degree incline?	0	0	0		
6.4.6	During transport, is each sheep given at least the following about of space*?  a. Shorn sheep under 120 lbs: 2 ft² (0.2 m²)  b. Shorn sheep over 120 lbs: 3 ft² (0.3 m²)  c. Unshorn sheep under 120 lbs: 3 ft² (0.4 m²)  d. Unshorn sheep over 120 lbs: 4 ft² (0.4 m²)  e. Pregnant ewes under 120 lbs: 5 ft² (0.5 m²)  *This can be calculated by measuring the length and width of the floor inside the trailer.  Multiply the two numbers to get the total area provided (if there are multiples levels in a trailer, calculate the space for each level and add them together). Next, divide the total area by the number of sheep you place in the trailer. This will give you the amount of space provided for each sheep.	000000	000000	000000		
6.5	Transport Personnel Responsibilities and Procedures					
6.5.4	If the truck picks up animals from other operations in addition to yours, is there a separate delivery note for your operation?	0	0	0		
6.6	Transport Duration					
6.6.1	Does transport take longer than 18 hours?	0	0	0		
6.8	Sale/Auction Barns					
6.8.1	Do you sell any sheep, including cull ewes, through auction or sale barns?	0	0			

# Additional Step 5+ Requirements

Only operations aiming to achieve a Step 5+ rating should fill out this section. If you plan to apply for a different Steprating, you can jump to the Requirements section for the associated Step level.

		Yes	No	NA
2 ANIM	AL CARE AND MANAGEMENT			
2.6	Castration			
2.6.2	Do you castrate?	0	0	0
2.9	Fly Strike Management and Tail Docking			
2.9.4	Do you tail dock?	0	0	0
2.10	Animal Identification			
2.10.5	Do you ear notch?	0	0	0
2.13	Weaning Age			
2.13.2	Is the average lamb age at weaning at least than 16 weeks?	0	0	0
2.13.5	Are lambs weaned using the following low-stress methods?  a. Fence line weaning  b. Gradual removal of ewes from a group  c. Removing ewes from the group to leave lambs in familiar surroundings  d. Natural weaning	0	0	0
2.17	Other Commercial Animals on the Operation			
2.17.1	Are all other livestock animals on the operation managed according to Step 1 Standards or higher?	0	0	0
2.18	Domestic Animals on the Operation			
2.18.2	Are all domestic animals (e.g., dogs, cats, horses, goats, chickens, or any other animals on the operation, including both pets and working animals) on-farm given the following?  a. Food and water  b. An environment that do not cause them injury and allows for exercise and comfortable resting  c. Veterinary attention, if required	0	0	0

		Yes	No	NA
4 LIVIN	G ENVIRONMENT			
4.1	Living Environment			
4.1.4	Do sheep have access to pasture at all times?	0	0	
	If sheep need to be removed from pasture due to extreme weather, does it exceed:			
	a. 5 consecutive days	0	0	0
	b. 25 days throughout a calendar year			
4.1.8	Do you use finishing lots of feed lots?	0	0	
4.3	Living Environment when Sheep are Removed from Pasture			
4.3.9	If you remove sheep from pasture, do you give them a bedded area?	0	0	0
4.5	Space Requirements			
4.5.3	If you ever house sheep, do you give at least the following amount of <b>bedded area</b> for each			
	sheep?			
	a. Ewe: 15 ft <sup>2</sup> (1.4 m <sup>2</sup> )	0	0	0
	b. Ewe and single lamb: 21 ft <sup>2</sup> (2.0 m <sup>2</sup> )	0	0	0
	c. Additional lamb: 4 ft² (0.4 m²)	0	0	0

		Yes	No	NA
	d. Weaned lamb: 10 ft² (0.9 m²)	0	0	0
	e. Ram: 21 ft² (2.0 m²)	0	0	0
4.6	Flooring			
4.6.2	In the event that you have to remove sheep from pasture, do you use slatted floors?	0	0	0

		Yes	No	NA
5 RODENT AND PREDATOR CONTROL				
5.2	Predator Control			
5.2.6	Are live traps checked twice daily and captures released within 24 hours?	0	0	0

		Yes	No	NA		
6 TRAN	6 TRANSPORT (i.e., any sheep movement off of the operation or on/around the operation for longer than 2 hours)					
6.6	Transport Duration					
6.6.2	Do you transport market sheep off the operation?	0	0	0		
6.8	Sale/Auction Barns					
6.8.1	Do you sell any sheep, including cull ewes, through auction or sale barns?	0	0			

		Yes	No	NA
8 SLAUC	GHTER REQUIREMENTS			
8.2	Segregation and in-Facility Traceability			
8.2.2	Are market sheep slaughtered on-farm?	0	0	

## **Records and Documents**

All operations, no matter which Step level you are applying for, should complete this section.

Records and documents should be written and organized. Acceptable formats include, but are not limited to, record sheets and cards, calendars, notebooks, and computer documents. If you do not have any records or documents listed here, please check the Meat Sheep area of the GAP website for templates to get you started.

Std #	Document needed for auditor to review	Yes	No	NA
	Do you keep a record of the dates for your lambing period?	0	0	0
1.3.3	Do you keep treatment records?	0	0	
	If Yes Do your records include:			
	a. The substance/product administered	0	0	
	b. Animal or group identification	0	0	
	c. Date and method of administration	0	0	
1.3.9	Do you have a written plan for internal and external parasite control?	0	0	
1.3.10	If your operation has had problems with skin conditions and/or fungal infections (e.g.	0	0	0
	sore mouth/Orf virus), do you have a written control plan?			
2.1.1, 2.1.2, 2.1.3	During flock observations, if there are any health for welfare issues, do you keep a record?	0	0	0
2.2.1	After assessing body condition, do you keep a record of any thin sheep?	0	0	
2.6.1	Do you keep a record of the date(s) you castrate each flock?	0	0	0
2.9.2	Do you keep a record of the date(s) you tail dock each flock?	0	0	0
2.11.1	Do you keep a record of the number of assisted lambings for each lamb crop?	0	0	0
2.11.2	Do you keep a record of the number of cesarean sections for each lamb crop?	0	0	0
2.13	Do you keep a record of the date(s) you wean each flock and/or batch?	0	0	0
	If you have to wean an individual lamb early, do you keep a record of the date of weaning and the reason?	0	0	0
2.15.1	Do you keep mortality records?	0	0	
	If Yes Do you record mortality separately for:			
	a. Pre-weaned lambs	0	0	0
	b. Market lambs	0	0	
	c. Breeding stock	0	0	0
	Do you note the cause of death?	0	0	
3.4.4	Do you keep copies of all feed tags/ingredient lists, including vitamin and mineral mixes?	0	0	
4.1.3,	If you take sheep off pasture, do you keep a record?	0	0	0
4.1.7	If Yes Do your records include:			
	a. The date they are removed	0	0	0
	b. The reason for removal	0	0	0
	c. The date they return to pasture	0	0	0
4.7.1	If sheep are housed, do you assess and record air quality daily?	0	0	0
6.5.1	Do the drivers who transport your sheep have a document that covers their			
	responsibilities during transport?	0	0	0
6.7.1	If you ship sheep off-farm, do you keep transport records?	0	0	0
	If Yes Do your records include:			
	a. Date	0	0	0
	b. Loading start and end times	0	0	0

I		c. Departure and arrival times	0	Ιο	0
		d. Number of sheep transported	0	0	0
		e. Reasons for stops or delays en route	0	0	0
		f. Mortalities	0	0	0
7.1.1	Do you ha	ave a written farm plan?	0	0	
,	If Yes	Does your plan that include:		Ť	
		a. An overview of the operation	0	0	
		b. Nutrition/feeding details	0	0	
		c. Health programs	0	0	
		d. Routine husbandry practices	0	0	
		e. Protocols for sick and injured sheep	0	0	
		f. Outdoor area and pasture management	0	0	
		g. Rodent and predator control practices	0	0	
		h. Management during adverse weather	0	0	
		i. Emergency procedures	0	0	
7.2.1	Do you ha	ave a biosecurity plan?	0	0	
	If Yes	Does your plan that include:			
		a. Procedures for bringing any new or returning sheep on-site	0	0	•
		b. Procedures and policies for employees	0	0	
		c. Procedures and policies for visitors	0	0	
		d. Procedures for feed trucks and equipment delivery	0	0	
		e. Policy for shared borders with neighboring operations	0	0	0
		f. Clean-out procedures of housing and pens between groups of sheep	0	0	0
7.4.2	Do you ke	eep employee training records?	0	0	0
	If Yes	Do your records include:			
		a. Date of training	0	0	0
		b. Topics covered	0	0	0
7.6.1		ve sheep, whether on or off your farm, do you keep a record?	0	0	
	If Yes	Do you keep records:			_
		a. For each flock	0	0	0
		b. That can trace all sheep back to birth	0	0	0
		c. That can trace subsequent movement of all sheep to next destination	0	0	0
7.6.2	Damento	(i.e., to the finishing operation or slaughter facility)	0	0	0
7.6.2	·	eep a chain of custody record for each shipment of sheep?	U		
	If Yes	Do your records include:			
		a. Number of sheep transported b. Date	0	0	0 0
			0	0	0
		c. Step-rating d. Certificate number	0	0	0
		e. Certificate number  e. Certificate expiry date	0	0	0
		f. Next destination (i.e. operation, collection, slaughter plant)	0	0	0
		g. Any deviations granted	0	0	0
7.6.3	If you huy	sheep, do you keep a copy of the previous operation's GAP certificate?	0	0	0
7.0.3	i you buy	, sheep, as you keep a copy of the previous operations and certificate!			